



**Missouri Division of
Finance**
301 W. High Street
Room 630
Jefferson City, MO 65102

APPLICATION TO REGISTER AS A FOREIGN FAMILY TRUST COMPANY

Form FTC-02

General Instructions

This form is the application to register as a foreign family trust company, as that term is defined in section 362.1015(10), RSMo. All statutes, rules, and forms relating to foreign family trust companies are available at the Missouri Division of Finance's website: <https://finance.mo.gov/banks/family-trust-company.php>.

Authorized Representative. The application must be completed and affirmed under penalty of perjury by an authorized representative of the proposed foreign family trust company. The authorized representative must be an officer or director, if the proposed foreign family trust company is organized as a corporation, or a manager, officer, or member, if the proposed foreign family trust company is organized as a limited liability company. The authorized representative may designate a correspondent or legal representative to correspond with the Division for the purpose of inquiries and requests for information regarding the application. The duty to ensure that all information provided in the application is complete, correct, and true remains with the proposed foreign family trust company's authorized representative.

If additional space is needed to complete the information required by this form, attach additional pages as necessary and identify the question to which the additional pages pertain.

Upon completion, submit the application, along with the nonrefundable \$5,000 filing fee payable to the Missouri Division of Finance to:

Missouri Division of
Finance
301 W. High Street
Room 630
Jefferson City, MO 65102

- ☐ The nonrefundable \$5,000 filing fee, made payable to "Missouri Division of Finance" is provided with this application for deposit into the Family Trust Company Fund.

This application will not be deemed to be filed until the applicant has provided the Division with all information required.

APPLICATION

1. Name of the Foreign Family Trust Company

2. Current Street Address and Telephone Number of the Physical Location of the Foreign Family Trust Company's Principal Place of Business in the Jurisdiction in which it was Incorporated or Organized

Street Address Line 1: _____

Street Address Line 2: _____

City: _____ State: _____ Postal Code: _____

Telephone Number: _____ Facsimile Number: _____

3. Name, Address, and Telephone Number of the Foreign Family Trust Company's Supervisory or Regulatory Authority in the Jurisdiction in which it was Incorporated or Organized

Name of Authority: _____

Address Line 1: _____

Address Line 2: _____

City: _____ State: _____ Postal Code: _____

Telephone Number: _____ Facsimile Number: _____

Website Address: _____

4. Missouri - Current Street Address and Telephone Number of the Physical Location in Missouri of the Foreign Family Trust Company's Principal Place of Operations

Street Address Line 1: _____

Street Address Line 2: _____

City: _____, Missouri Postal Code: _____

Telephone Number: _____ Facsimile Number: _____

5. Current Street Address and Telephone Number of Any Other Offices in Missouri

| Street Address | City | Postal Code | Telephone Number |
|----------------|------|-------------|------------------|
| | | | |
| | | | |
| | | | |

6. Registered Agent in Missouri

Name: _____

Street Address: _____

City: _____, Missouri Postal Code: _____

7. The Foreign Family Trust Company's Authorized Representative or Correspondent for Purposes of this Application

Name: _____

Address: _____

City: _____, State: _____ Postal Code: _____

Telephone Number: _____ Email: _____

8. Deposit Account

State the name and address of the financial institution, which must be a state-chartered or national financial institution that has a principal or branch office in Missouri, where the foreign family trust company will maintain a deposit account.

Name of Institution: _____

Address: _____

City: _____ State: _____ Postal Code: _____

Missouri Office Address:* _____

Missouri Office City: _____ Postal Code: _____

* If different from the address above.

9. Certificate of Good Standing and Proof that the Organization of the Foreign Family Trust Company in the Jurisdiction Where it is Incorporated or Organized is Similar to that of a Family Trust Company as Defined in Chapter 362, RSMo.

Provide, as Exhibit A, a certified copy of a certificate of good standing, or an equivalent document, issued by the licensing official or agency in the jurisdiction where the foreign family trust company is incorporated or organized, and authenticated by the official having custody of records in the jurisdiction where the foreign family trust company is incorporated or organized, along with:

- (a) a copy of the foreign family trust company's articles of incorporation, articles of organization, or other equivalent document, for its operations in the jurisdiction where it is incorporated or organized;
- (b) a copy of the bylaws or operating agreement or equivalent document for its operations in the jurisdiction where it is incorporated or organized;
- (c) a description of all types of services the foreign family trust company is authorized by law to provide in the jurisdiction where it is incorporated or organized and the services it provides;
- (d) a description of the types of persons or entities (for example, one or more families, family members, related interests of family members, company employees, members of the general public, unrelated persons and/or businesses, and/or others) to whom the foreign family trust company is authorized by law to provide services in the jurisdiction where it is incorporated or organized and an identification of the types of persons or entities to whom the company provides services in the jurisdiction where it is incorporated or organized; and
- (e) a complete copy of the initial registration application or equivalent document and information submitted to the registering official or agency in jurisdiction where the foreign family trust company is incorporated or organized.

10. Is the foreign family trust company owned by, or a subsidiary of, a corporation, limited liability company, or other business entity that is organized in or licensed by any foreign country?

☐

Yes

☐

No

11. Applicable State and Local Business Licenses, Charters, and Permits

List all applicable state and local business licenses, charters, and permits obtained or that will be obtained for the company's operations in Missouri.

CERTIFICATION

I, the undersigned authorized representative of _____, the foreign family trust company applicant named herein, hereby affirm, under penalty of perjury, that I am authorized to make this application on behalf of the applicant; that the operations of the foreign family trust company will comply with the provisions of sections 362.1010 to 362.1117, RSMo.; that the applicant is currently in compliance with the family trust company laws and regulations of the jurisdiction in which it is incorporated or organized; that I have read the foregoing application and all information submitted herewith; and that the application and all information submitted herewith is true, complete, and correct to the best of my knowledge and belief. I understand that misrepresentation or failure to reveal information requested may be deemed sufficient cause for the refusal by the Division to authorize the foreign family trust company to operate in this state.

Signature: _____

Name: _____

Date: _____

STATE OF _____

COUNTY OF _____

Sworn to and subscribed before me this _____ day of _____, _____,
by _____, who ☐ is personally known to me or
who ☐ produced _____ as
identification.

Notary Public Signature

Notary Seal:

EXHIBIT A

**Certificate of Good Standing and Proof that the Organization of
the Foreign Family Trust Company in the Jurisdiction Where it is
Incorporated or Organized is Similar to that of a Family Trust Company
as Defined in Chapter 362, RSMo.**